



THE UNIVERSITY *of* EDINBURGH

## Edinburgh Research Explorer

# Sensitivity of RT-PCR testing of upper respiratory tract samples for SARS-CoV-2 in hospitalised patients: a retrospective cohort study

### Citation for published version:

Williams, TC, Wastnedge, E, Mcallister, G, Bhatia, R, Cuschieri, K, Kefala, K, Hamilton, F, Johannessen, I, Laurenson, IF, Shepherd, J, Stewart, A, Waters, D, Wise, H & Templeton, KE 2020, 'Sensitivity of RT-PCR testing of upper respiratory tract samples for SARS-CoV-2 in hospitalised patients: a retrospective cohort study', *Wellcome Open Research*, vol. 5, pp. 254. <https://doi.org/10.12688/wellcomeopenres.16342.1>

### Digital Object Identifier (DOI):

[10.12688/wellcomeopenres.16342.1](https://doi.org/10.12688/wellcomeopenres.16342.1)

### Link:

[Link to publication record in Edinburgh Research Explorer](#)

### Document Version:

Publisher's PDF, also known as Version of record

### Published In:

Wellcome Open Research

### General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

### Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact [openaccess@ed.ac.uk](mailto:openaccess@ed.ac.uk) providing details, and we will remove access to the work immediately and investigate your claim.





## RESEARCH ARTICLE

# Sensitivity of RT-PCR testing of upper respiratory tract samples for SARS-CoV-2 in hospitalised patients: a retrospective cohort study [version 1; peer review: 1 approved, 1 approved with reservations]

Thomas C. Williams<sup>1\*</sup>, Elizabeth Wastnedge<sup>2\*</sup>, Gina McAllister<sup>2\*</sup>, Ramya Bhatia<sup>2</sup>, Kate Cuschieri<sup>2</sup>, Kallirroi Kefala<sup>3,4</sup>, Fiona Hamilton<sup>2</sup>, Ingólfur Johannessen<sup>2</sup>, Ian F. Laurenson<sup>2</sup>, Jill Shepherd<sup>2</sup>, Alistair Stewart<sup>5</sup>, Donald Waters<sup>id 2</sup>, Helen Wise<sup>6</sup>, Kate E. Templeton<sup>2</sup>

<sup>1</sup>IGMM, University of Edinburgh, Edinburgh, UK

<sup>2</sup>Department of Clinical Microbiology & Virology, Directorate of Laboratory Medicine, Royal Infirmary of Edinburgh, Edinburgh, UK

<sup>3</sup>Centre for Inflammation Research, University of Edinburgh, Edinburgh, UK

<sup>4</sup>Critical Care Research Group, University of Edinburgh, Edinburgh, UK

<sup>5</sup>eHealth Directorate, Royal Infirmary of Edinburgh, Edinburgh, UK

<sup>6</sup>Department of Blood Sciences, Directorate of Laboratory Medicine, Royal Infirmary of Edinburgh, Edinburgh, UK

\* Equal contributors

**V1** First published: 26 Oct 2020, 5:254  
<https://doi.org/10.12688/wellcomeopenres.16342.1>

Latest published: 26 Oct 2020, 5:254  
<https://doi.org/10.12688/wellcomeopenres.16342.1>

## Abstract

**Background:** This study aimed to determine the sensitivity and specificity of reverse transcription PCR (RT-PCR) testing of upper respiratory tract (URT) samples from hospitalised patients with coronavirus disease 2019 (COVID-19), compared to the gold standard of a clinical diagnosis.

**Methods:** All URT RT-PCR testing for severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) in NHS Lothian, Scotland, United Kingdom between the 7<sup>th</sup> of February and 19<sup>th</sup> April 2020 (inclusive) was reviewed, and hospitalised patients were identified. All URT RT-PCR tests were analysed for each patient to determine the sequence of negative and positive results. For those who were tested twice or more but never received a positive result, case records were reviewed, and a clinical diagnosis of COVID-19 allocated based on clinical features, discharge diagnosis, and radiology and haematology results. For those who had a negative RT-PCR test but a clinical diagnosis of COVID-19, respiratory samples were retested using a multiplex respiratory panel, a second SARS-CoV-2 RT-PCR assay, and a human RNase P control.

**Results:** Compared to the gold standard of a clinical diagnosis of

## Open Peer Review

Reviewer Status

Invited Reviewers

1

2

version 1

26 Oct 2020



report



report

1. **James Otieno** , Icahn School of Medicine at Mount Sinai, New York City, USA  
 Fogarty International Center, NIH, Bethesda, USA

2. **Mariska Leeftang**, Amsterdam University Medical Centers, University of Amsterdam, Amsterdam, The Netherlands

Any reports and responses or comments on the

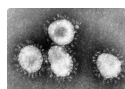
COVID-19, the sensitivity of a single upper respiratory tract RT-PCR for COVID-19 was 82.2% (95% confidence interval 79.0-85.1%). The sensitivity of two upper respiratory tract RT-PCR tests increased sensitivity to 90.6% (CI 88.0-92.7%). A further 2.2% and 0.9% of patients who received a clinical diagnosis of COVID-19 were positive on a third and fourth test; this may be an underestimate of the value of further testing as the majority of patients 93.0% (2999/3226) only had one or two URT RT-PCR tests.

**Conclusions:** The sensitivity of a single RT-PCR test of URT samples in hospitalised patients is 82.2%. Sensitivity increases to 90.6% when patients are tested twice. A proportion of cases with clinically defined COVID-19 never test positive on URT RT-PCR despite repeat testing.

### Keywords

SARS-CoV-2, COVID-19, Diagnostics, RT-PCR

article can be found at the end of the article.



This article is included in the [Coronavirus \(COVID-19\)](#) collection.

**Corresponding author:** Kate E. Templeton ([Kate.Templeton@nhslothian.scot.nhs.uk](mailto:Kate.Templeton@nhslothian.scot.nhs.uk))

**Author roles:** **Williams TC:** Conceptualization, Formal Analysis, Investigation, Methodology, Project Administration, Visualization, Writing – Original Draft Preparation, Writing – Review & Editing; **Wastnedge E:** Formal Analysis, Investigation, Writing – Original Draft Preparation, Writing – Review & Editing; **McAllister G:** Formal Analysis, Investigation, Writing – Original Draft Preparation, Writing – Review & Editing; **Bhatia R:** Formal Analysis, Investigation; **Cuschieri K:** Data Curation, Resources, Writing – Review & Editing; **Kefala K:** Conceptualization, Resources, Supervision, Writing – Review & Editing; **Hamilton F:** Data Curation, Investigation, Resources, Supervision, Writing – Review & Editing; **Johannessen I:** Conceptualization, Investigation, Resources, Supervision, Writing – Original Draft Preparation, Writing – Review & Editing; **Laurenson IF:** Conceptualization, Investigation, Methodology, Supervision, Writing – Original Draft Preparation, Writing – Review & Editing; **Shepherd J:** Investigation, Writing – Review & Editing; **Stewart A:** Resources, Writing – Review & Editing; **Waters D:** Investigation, Writing – Review & Editing; **Wise H:** Data Curation, Investigation, Writing – Original Draft Preparation, Writing – Review & Editing; **Templeton KE:** Conceptualization, Funding Acquisition, Methodology, Project Administration, Resources, Supervision, Writing – Original Draft Preparation, Writing – Review & Editing

**Competing interests:** No competing interests were disclosed.

**Grant information:** TCW receives funding from the Wellcome Trust [204802].

*The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.*

**Copyright:** © 2020 Williams TC *et al.* This is an open access article distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

**How to cite this article:** Williams TC, Wastnedge E, McAllister G *et al.* **Sensitivity of RT-PCR testing of upper respiratory tract samples for SARS-CoV-2 in hospitalised patients: a retrospective cohort study [version 1; peer review: 1 approved, 1 approved with reservations]** Wellcome Open Research 2020, 5:254 <https://doi.org/10.12688/wellcomeopenres.16342.1>

**First published:** 26 Oct 2020, 5:254 <https://doi.org/10.12688/wellcomeopenres.16342.1>

## Introduction

The coronavirus disease 2019 (COVID-19) pandemic in Europe has already caused significant morbidity and mortality, not least within the United Kingdom. As well as causing large numbers of community-acquired cases, severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has also been shown to circulate effectively within hospitals<sup>1</sup>, necessitating the creation of COVID-19 specific areas. An estimate of the sensitivity of reverse transcription PCR (RT-PCR) testing for SARS-CoV-2 is therefore critical. Overestimation of sensitivity may lead to patients with disease being incorrectly diagnosed, and placed in non-COVID-19 areas with the subsequent risk of infection to others; underestimation of sensitivity may lead to patients who are SARS-CoV-2 negative being erroneously placed in COVID-19 areas.

A recent meta-analysis<sup>2</sup> estimates the sensitivity of reverse transcription polymerase chain reaction (RT-PCR) testing of upper respiratory tract (URT) samples as 89%, but this meta-analysis, and a subsequent one<sup>3</sup> highlight a number of limitations in the literature. These include small sample size (<100 patients with COVID-19)<sup>4–11</sup>, reliance on RT-PCR itself as the gold standard for diagnosis<sup>12,13</sup>, use of computed tomography (CT) scans rather than clinical criteria as a gold standard for the diagnosis of COVID-19<sup>14,15</sup>, and absence of comprehensive RT-PCR testing for all included patients<sup>16</sup>. Finally, only a single study to our knowledge has examined the cumulative sensitivity of repeat testing for SARS-CoV-2<sup>14</sup>. Here we examine in a large, comprehensive dataset the sensitivity of RT-PCR testing of upper respiratory tract specimens for COVID-19, compared to the gold standard of clinical diagnosis.

## Methods

### Data source

All RT-PCR testing conducted for SARS-CoV-2 in NHS Lothian between the 7<sup>th</sup> of February and 19<sup>th</sup> April 2020 (inclusive) was reviewed. NHS Lothian covers a population of 907,580 people<sup>17</sup> and during the period of the study the Royal Infirmary of Edinburgh was the only regional centre conducting SARS-CoV-2 testing. Hospitalised patients were identified by cross-matching patient identification numbers against the NHS

Lothian TrakCare Patient Clinical Management System database. In this study we comply with the STROBE reporting guidelines for observational studies<sup>18</sup>.

### Data collection

For hospitalised patients, URT samples were identified, and only unambiguous positive or negative results, as authorised by laboratory staff, selected. Testing patterns were allocated for each patient, determining the sequence of URT RT-PCR tests and whether each test had yielded a negative or positive result (Table 1).

### Case definitions

Patients with a single negative test result were classified as a true negative, as clinical guidelines in place at the time specified that if there was clinical suspicion of COVID-19, an URT RT-PCR test should be repeated if the first test was negative. For those who initially tested negative on one or more occasions and then positive, case records were reviewed to determine whether this represented two discrete presentations or the same presentation. If they were classified as two distinct presentations, the negative followed by positive test was treated as a single positive test.

For those tested twice or more but who never received a positive result from URT RT-PCR testing, case records were reviewed, and a clinical diagnosis of COVID-19 was allocated based on a discharge diagnosis from the clinical team (or death certificate documentation) and clinical review. Clinical features, radiology reports and haematology results were reviewed. A positive clinical diagnosis was based on European Centres for Disease Control (ECDC) and World Health Organisation (WHO) criteria<sup>19</sup>. Based on previously published studies<sup>20,21</sup>, cases were judged to be more likely to represent COVID if a chest X-ray showed patchy bilateral infiltrative changes, or a CT scan showed ground glass changes and if there was lymphopaenia in the presence of a normal neutrophil count<sup>22</sup>. Case records were reviewed by two clinicians (EW and TCW); if a consensus decision could not be reached, the case records were reviewed by a third clinician (DW) to arrive at a final clinical diagnosis. For patients classified as a possible false negative, their initial respiratory sample was retested using a

**Table 1. Classification of test results.**

Description	Classification
Single negative test	Classified as a true negative.
Initial positive test, with or without subsequent testing.	Classified as a true positive. Clinical records reviewed to confirm that met case definition.
More than one negative test, no positive test result at any point	Clinical records reviewed to identify whether should be classified as true negative, or potential false negative based on clinical diagnosis.
A series of one or more negative tests followed by a positive test, with or without subsequent testing.	Clinical records reviewed to identify whether a single or multiple clinical presentations. If two distinct clinical presentations with independent testing, treated as discrete episodes, and test classified as a true positive. If a single episode, test classified as a false negative.

multiplex respiratory panel, a second SARS-CoV-2 RT-PCR assay on the SeeGene platform as detailed below, and a human RNase P RT-PCR.

For patients who tested positive on their initial test, case records were reviewed to ensure they met the clinical criteria for COVID-19, as described above. If they did not meet these clinical criteria, the samples IDs were matched against samples which had undergone whole genome sequencing (WGS) as part of the COVID-19 Genomics UK sequencing consortium<sup>23</sup>. If WGS had been completed successfully for a sample, this was assumed to represent a true positive. For those that had not, RT-PCR re-testing was conducted using the SeeGene platform as detailed below.

### Laboratory methods

URT samples were collected and added to viral transport media (Remel MicroTest M4RT). A volume of 110 µL of eluate containing purified RNA was obtained following automated extraction carried out on the NucliSENS® easyMag® (bioMérieux) using an 'off-board' extraction where 200 µL of the sample was added to 2 ml of easyMAG lysis buffer. Overall, 94.0% (5418/5763) of tests on hospitalised patients were conducted using a modified in-house RT-PCR (Drosten, Eurosurveillance<sup>24</sup>) 5.8% (337/5763) were conducted using the Allplex™2019-nCoV Assay from SeeGene (Seoul, South Korea), and 0.15% (8/5763) using the Abbott RealTime SARS-CoV-2 assay (Des Plaines, IL), with cut-off for diagnosis a threshold cycle (Ct) of 40 or less.

### Further characterisation of possible false negatives

The Luminex Panel NxTAG® Respiratory Pathogen Panel (Texas, United States) was used to re-test the original extracted RNA for suspected false negatives (cases which met the clinical case criteria but had negative RT-PCR testing). Multiplex real-time PCRs were carried out on positive extracts using the ABI real-time system, model 7500 (Applied Biosystems, Warrington, United Kingdom), as part of routine testing using assays developed in-house and/or adapted from published methods<sup>25,26</sup>. The same samples were also re-tested using the Allplex™2019-nCoV SeeGene Assay, and using a human RNase P control<sup>27</sup>. For samples that tested positive using the SeeGene assay, Ct values for human RNase P were compared to negative results using a Welch two-sample t-test in R version 3.4.1<sup>28</sup> and plotted using GraphPad Prism version 6.04 for Windows (GraphPad Software, La Jolla California USA).

For patients who tested positive for a new respiratory pathogen, the case records were reviewed to ascertain whether the diagnosis was best explained by SARS-CoV-2 infection or the subsequently identified respiratory pathogen. Convalescent serology samples (>14 days after onset of symptoms), if available, were analysed using the Abbott SARS-CoV-2 IgG assay on the Abbott Architect platform<sup>29</sup>.

### Statistical analyses

The sensitivity was calculated as the proportion of true positives detected on initial testing and re-testing of suspected

false negatives, divided by the number of true positives added to convincing false negatives, as estimated on the basis of further respiratory testing and serology testing. The specificity was calculated by dividing true negatives by the number of true negatives added to those judged to be false positives, on the basis of repeat RT-PCR retesting. The positive predictive value was determined by dividing the number of true positive by the sum of the true positives and false positives. The negative predictive value was calculated by dividing the number of true negatives by the sum of the true negatives and false negatives. Confidence intervals for these estimates were calculated using a two-sided exact binomial test with a confidence level of 0.95, implemented in R<sup>28</sup>.

### Ethics statement

As part of the study protocol, specimens and associated clinical data were collected and anonymized before additional molecular/serological testing in accordance with local ethical approval (South East Scotland Scottish Academic Health Sciences Collaboration Human Annotated BioResource reference no. 10/S1402/33). As the study formed part of a service evaluation, with no publication of patient identifiable information, the need for informed consent was waived by the local Caldicott Guardian.

An earlier version of this article can be found on medRxiv (DOI: <https://doi.org/10.1101/2020.06.19.20135756>).

### Results

A total of 10,601 RT-PCR tests for SARS-CoV-2 for 8311 patients were conducted on URT specimens by the Royal Infirmary of Edinburgh laboratories between the 7<sup>th</sup> of February and the 19<sup>th</sup> of April 2020 on patients for whom data was available. These tests included community testing for patients who were never admitted to hospital, and testing for patients outside NHS Lothian for Boards that did not perform their own SARS-CoV-2 testing. From this testing, 1667 patients received a positive result for SARS-CoV-2 testing (Table 2). The overall sensitivity of an initial URT RT-PCR test for the whole cohort (using a gold standard of an eventual molecular diagnosis of SARS-CoV-2 on URT RT-PCR) is 91.8%, rising to 98.4% after 2 tests.

### Testing for other respiratory pathogens

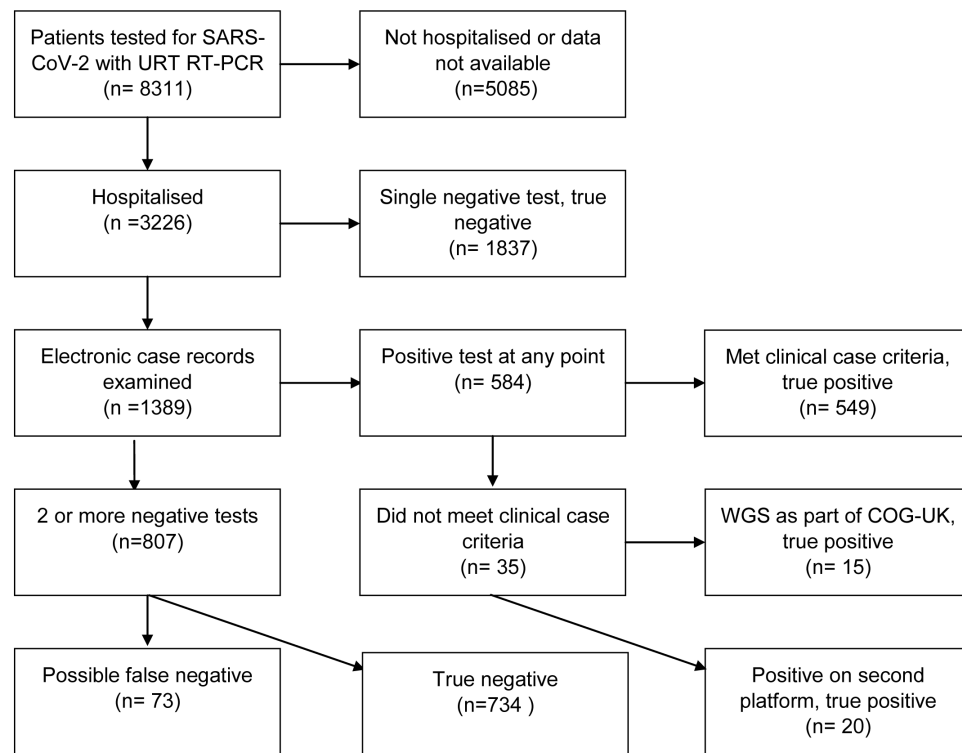
Of the total cohort, 3226 patients were hospitalised in NHS Lothian. The data analysis for these patients is summarised in the flowchart in Figure 1. In total, 73 patients received a clinical diagnosis of COVID-19 but did not receive a positive RT-PCR result at the time. The RNA extract used for the initial SARS-CoV-2 RT-PCR was retested for common respiratory pathogens using the Luminex assay. Out of the 73, nine (12.3%) tested positive for a respiratory pathogen. On clinical review, all nine cases were judged to be better explained by this new diagnosis rather than COVID-19 (Table 3).

### Retesting with the Seegene assay

Retesting of the remaining 64 samples from suspected false negative cases with the Seegene assay for SARS-CoV-2

**Table 2. Summary of testing for all patients.**

Testing pattern	Number	% all patients	% of positive patients
Single negative test	5665	68.1	NA
More than 1 negative test	979	11.8	NA
Single positive test	1531	18.4	91.8
Initial negative test followed by positive test	110	1.3	6.6
Positive test after two or more negative tests	26	0.3	1.6

**Figure 1. Flowchart for patients undergoing upper respiratory tract RT-PCR testing in NHS Lothian.** URT: upper respiratory tract; RT-PCR: reverse transcription polymerase chain reaction; WGS: whole genome sequencing; COG-UK: COVID-19 Genomics Consortium.**Table 3. Positive results for other respiratory viruses on re-testing of initial sample.**

Respiratory pathogen	Number of cases
Influenza B	3
Human rhinovirus/enterovirus	2
Parainfluenza virus 1	1
Parainfluenza virus 3	1
Parainfluenza virus 4A	1
Human coronavirus NL63	1
Total	9



showed 27 (42.2%) of these were positive. Of the 37 remaining samples that neither tested positive for a respiratory pathogen nor for SARS-CoV-2 on repeat testing, all showed a positive result for human RNase P. Comparing Ct values for human RNase P for the samples that tested positive compared to those that tested negative (for SARS-CoV-2 on the Seegene assay) showed no difference using a Welch two sample t-test ( $p=0.49$ , Figure 3). The flowchart in Figure 2 summarises the results for the patients with a clinical assessment of COVID-19 but negative initial URT RT-PCR testing. For an initial test, the sensitivity of RT-PCR URT for SARS-CoV-2 infection was 82.2% (95% confidence interval 79.0–85.1%) with a specificity of 100% (CI 99.9–100%). The positive predictive value of an initial

test was 100%; the negative predictive value of an initial test was 95.7% (Table 4).

### Repeat testing

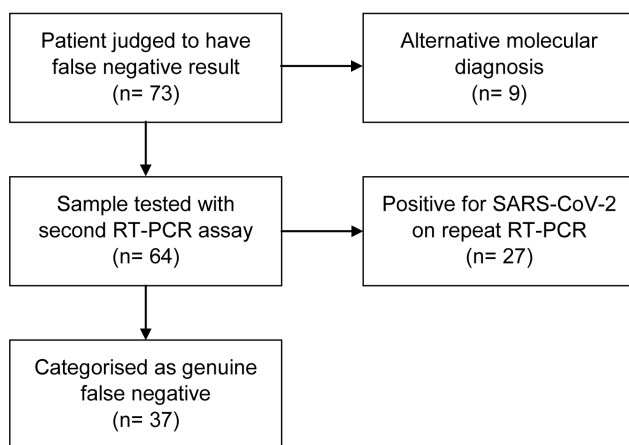
Sensitivity increased to 90.6% (CI 88.0–92.7%) after two consecutive tests (Table 5), with a specificity of 100% (CI 99.9–100%). Increasing to three tests captured an additional 14/646 (2.2%) patients, and up to four tests an additional 6/646 (0.9%). This is a potential underestimate, as in this cohort there were 20 patients with a clinical diagnosis of COVID-19 who were tested twice with consecutive negative results, who might have yielded a positive result on a third test. The positive predictive value of two tests was 100%, and the negative predictive value 97.7%.

### Lower respiratory tract samples

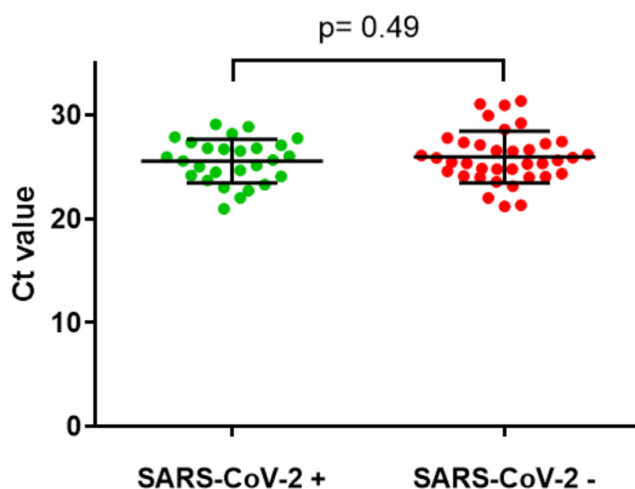
We examined data for a subset of 67 patients >16 years of age admitted to an Intensive Care Unit in NHS Lothian from the 6<sup>th</sup> March until the 5<sup>th</sup> of April 2020 with a discharge diagnosis of COVID-19. All tested positive on upper or lower respiratory tract RT-PCR testing. The sensitivity of an initial URT RT-PCR test in this cohort was 76.1% (51/67 positive, CI 64.1–85.7%). After two URT RT-PCR tests, sensitivity increased to 89.5% (60/67 positive, CI 79.7–95.7%). Four patients never tested positive on URT RT-PCR (6.0%). A total of 34 patients had a lower respiratory tract (LRT) sample sent for RT-PCR: the sensitivity of this initial test was higher than that of URT testing at 94.1% (32/34 positive). This dataset, with the extra information offered by the increased availability of LRT specimens, supports the overall findings from the study.

### Convalescent serology

Out of the cohort of 64 patients who received a clinical diagnosis of COVID-19 with initial negative testing, and negative



**Figure 2.** Flowchart for patients meeting clinical diagnosis of COVID-19 but with negative upper respiratory tract RT-PCR testing.



**Figure 3.** Comparison of Ct values for human RNase P in URT sample RT-PCR tests which were positive or negative for SARS-CoV-2. Mean and standard deviation shown,  $p=0.49$  using Welch two sample t-test.

**Table 4.** A 2 x 2 contingency table to calculate sensitivity and specificity of URT RT-PCR for SARS-CoV-2 detection on initial testing.

		COVID-19 (Clinical assessment & re-testing)	
		Positive	Negative
Test (RT-PCR)	Positive	531	0
	Negative	115	2580

**Table 5.** Sensitivity of 2 URT RT-PCR tests for the diagnosis of COVID-19.

		COVID-19 (Clinical assessment & re-testing)	
		Positive	Negative
Test (RT-PCR)	Positive	585	0
	Negative	61	2580

testing for other viruses, convalescent serology (>14 days after onset of symptoms) was available for seven patients. Of these, four were positive (57.1%).

## Discussion

### Summary of principal findings

Here we show, using a comprehensively examined dataset, that the sensitivity of RT-PCR testing of URT specimens for the diagnosis of COVID-19 is 82.2% on initial testing, and 90.6% after two consecutive tests. Subsequent tests showed a small increase in diagnostic yield (2.2% for three tests and a further 0.9% for four tests), although this may represent an underestimate, as a number of patients given a diagnosis of COVID-19 based on clinical criteria were only tested twice.

### Findings of the present study in light of what has been published before

A previous meta-analysis gives a pooled sensitivity for RT-PCR of 89% (CI 81–94%) for the diagnosis of COVID-19<sup>2</sup>; our results sit at the lower range of this estimate, but with overlapping confidence intervals. As highlighted in the introduction, the included studies suffer from a number of limitations including reliance on RT-PCR itself as the diagnostic gold standard, which would lead to an increase in the estimated sensitivity. We are not aware of any studies which have used a clinical diagnosis of COVID-19 against which to assess the sensitivity of RT-PCR. Here we show that the sensitivity of an initial test is lower than reported in this meta-analysis, but that the chance of a false negative result (17.8%) is lower than the 29% estimated in a subsequent meta-analysis<sup>3</sup> using a subset of studies included in 2. These widely varying estimates highlight the importance of more data to inform our understanding of the strengths and weaknesses of RT-PCR testing.

### Strengths and limitations

The strengths of the study include the large dataset of both COVID-19 positive and negative patients, and extensive further testing to rule out false negative RT-PCR results and alternative diagnoses in those patients given a clinical diagnosis of COVID-19. We also studied whether inadequate sampling might be a possible explanation for false negatives. However in a cohort of 37 possible false negatives all samples had detectable RT-PCR for human RNase P, with no difference between this group and those that tested positive for SARS-CoV-2, showing that this was not a factor in determining the sensitivity of RT-PCR in this population.

A limitation of the study is that the WHO/ECDC case definition of COVID-19 is likely to be highly sensitive but have low specificity. This means that a number of the cases we identified as potential false negatives could in fact represent other case presentations (a false positive in terms of the clinical diagnosis), and thus underestimate the sensitivity of the assay. This interpretation is supported by the findings from serology, where four out of seven patients who met the clinical case criteria and had a convalescent serology sample had a positive serological test. Conversely, we did not examine the case records of the 1837 patients who tested negative on a

single occasion, some of whom are likely to have received a clinical diagnosis of COVID-19. An increased number of false negatives would lead to a decreased sensitivity for the assay.

### Meaning of the study and understanding possible mechanisms

The result from our study suggest that there may be a small proportion of patients with SARS-CoV-2 infection who meet the clinical case definition but never test positive on RT-PCR testing. It is possible that, in patients with severe disease, infection is entirely in the LRT, or that by time of presentation in the disease course the virus may only be present at very low levels in the URT<sup>30</sup>; this is supported by our findings in the ICU cohort, where 6.0% of patients never tested positive on URT RT-PCR.

### Implications for practice or policy, and suggestions for future research

Reliance on RT-PCR testing may result in patients with COVID-19 being inappropriately labelled with alternative diagnoses. These possibly infectious patients will subsequently pose a risk to healthcare workers and other patients. A more detailed picture of the sensitivity of URT RT-PCR testing will be aided by comprehensive serological testing of hospitalised patients with suspected infection.

## Data availability

### Underlying data

As part of a service evaluation project, this study received local Caldicott Guardian approval but no permission was granted for publication of any patient identifiable information. Therefore, the raw data underlying the analyses has not been made publicly available. Anonymised data will be provided to researchers at accredited institutions who wish to conduct their own analysis or run meta-analyses after consultation with the local Institutional Review Board. Requests for access to the data should be made to Kate Templeton ([kate.templeton@nhslothian.scot.nhs.uk](mailto:kate.templeton@nhslothian.scot.nhs.uk)).

## Acknowledgments

The authors would like to acknowledge the efforts of the clinical staff who collected samples from patients, and those of the laboratory staff at the Royal Infirmary of Edinburgh who processed them. They would also like to thank the members of the COG-UK team in Edinburgh who conducted the whole genome sequencing referenced in the study.

## ICMJE Statement

TCW, EW, GM and KET conceived and designed the study. AS, FH, KC and KK contributed towards the acquisition of data. GM, RB and HW conducted experimental work. TCW, EW, GM, KET, DW, IS and IFL conducted the analysis and interpretation of data. All the authors participated in drafting the article and revising it critically for important intellectual content, and all authors gave final approval of the version to be submitted.



## References

1. Zhou Q, Gao Y, Wang X, *et al.*: **Nosocomial Infections Among Patients with COVID-19, SARS and MERS: A Rapid Review and Meta-Analysis.** *MedRxiv.* 2020; 2020.04.14.20065730.  
[Publisher Full Text](#)
2. Kim H, Hong H, Yoon SH: **Diagnostic Performance of CT and Reverse Transcriptase Polymerase Chain Reaction for Coronavirus Disease 2019: A Meta-Analysis.** *Radiology.* 2020; **296**: E145–E155.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
3. Arevalo-Rodriguez I, Buitrago-Garcia D, Simancas-Racines D, *et al.*: **False-negative results of initial RT-PCR assays for COVID-19: a systematic review.** *MedRxiv.* 2020.  
[Publisher Full Text](#)
4. Zhang J, Dong X, Cao Y, *et al.*: **Clinical characteristics of 140 patients infected with SARS-CoV-2 in Wuhan, China.** *Allergy.* 2020; **75**(7): 1730–1741.  
[PubMed Abstract](#) | [Publisher Full Text](#)
5. Wu J, Liu J, Zhao X, *et al.*: **Clinical Characteristics of Imported Cases of Coronavirus Disease 2019 (COVID-19) in Jiangsu Province: A Multicenter Descriptive Study.** *Clin Infect Dis.* 2020; **71**(15): 706–712.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
6. Young BE, Ong SWX, Kalimuddin S, *et al.*: **Epidemiologic Features and Clinical Course of Patients Infected With SARS-CoV-2 in Singapore.** *JAMA.* 2020; **323**(15): 1488–1494.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
7. Chan JFW, Yip CCY, To KKW, *et al.*: **Improved Molecular Diagnosis of COVID-19 by the Novel, Highly Sensitive and Specific COVID-19-RdRp/HeI Real-Time Reverse Transcription-PCR Assay Validated In Vitro and with Clinical Specimens.** *J Clin Microbiol.* 2020; **58**(5): e00310–20.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
8. Fang Y, Zhang H, Xie J, *et al.*: **Sensitivity of Chest CT for COVID-19: Comparison to RT-PCR.** *Radiology.* 2020; **296**(2): E115–E117.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
9. Li W, Cui H, Li K, *et al.*: **Chest computed tomography in children with COVID-19 respiratory infection.** *Pediatr Radiol.* 2020; **50**(6): 796–9.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
10. Long C, Xu H, Shen Q, *et al.*: **Diagnosis of the Coronavirus disease (COVID-19): rRT-PCR or CT?** *Eur J Radiol.* 2020; **126**: 108961.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
11. Lescure FX, Bouadma L, Nguyen D, *et al.*: **Clinical and virological data of the first cases of COVID-19 in Europe: a case series.** *Lancet Infect Dis.* 2020; **20**(6): 697–706.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
12. Xie X, Zhong Z, Zhao W, *et al.*: **Chest CT for Typical Coronavirus Disease 2019 (COVID-19) Pneumonia: Relationship to Negative RT-PCR Testing.** *Radiology.* 2020; **296**(2): E41–E45.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
13. Bernheim A, Mei X, Huang M, *et al.*: **Chest CT Findings in Coronavirus Disease-19 (COVID-19): Relationship to Duration of Infection.** *Radiology.* 2020; **295**(3): 200463.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
14. Li Y, Yao L, Li J, *et al.*: **Stability issues of RT-PCR testing of SARS-CoV-2 for hospitalized patients clinically diagnosed with COVID-19.** *J Med Virol.* 2020; **92**(7): 903–908.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
15. Ai T, Yang Z, Hou H, *et al.*: **Correlation of Chest CT and RT-PCR Testing for Coronavirus Disease 2019 (COVID-19) in China: A Report of 1014 Cases.** *Radiology.* 2020; **296**(2): E32–E40.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
16. Nemati S, Najari HR, Eftekharzadeh A, *et al.*: **Association between rRT-PCR test results upon admission and outcome in hospitalized chest CT-Positive COVID-19 patients; a provincial retrospective cohort with active follow-up.** *MedRxiv.* 2020; 2020.04.21.20074641.  
[Publisher Full Text](#)
17. **Population Estimates - Datasets - Scottish Health and Social Care Open Data n.d.** (accessed May 21, 2020).  
[Reference Source](#)
18. von Elm E, Altman DG, Egger M, *et al.*: **The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement: guidelines for reporting observational studies.** *Lancet.* 2007; **370**(9596): 1453–7.  
[PubMed Abstract](#) | [Publisher Full Text](#)
19. **Case definition and European surveillance for COVID-19, as of 2 March 2020 n.d.** (accessed May 11, 2020).  
[Reference Source](#)
20. Shi H, Han X, Jiang N, *et al.*: **Radiological findings from 81 patients with COVID-19 pneumonia in Wuhan, China: a descriptive study.** *Lancet Infect Dis.* 2020; **20**(4): 425–34.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
21. **Differentiating viral from bacterial pneumonia - CEBM n.d.** (accessed May 22, 2020).  
[Reference Source](#)
22. Terpos E, Ntanasis-Stathopoulos I, Elalamy I, *et al.*: **Hematological findings and complications of COVID-19.** *Am J Hematol.* 2020; **95**(7): 834–847.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
23. COVID-19 Genomics UK (COG-UK) consortiumcontact@cogconsortium.uk: **An integrated national scale SARS-CoV-2 genomic surveillance network.** *Lancet Microbe.* 2020; **1**(3): e99–e100.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
24. Corman VM, Landt O, Kaiser M, *et al.*: **Detection of 2019 novel coronavirus (2019-nCoV) by real-time RT-PCR.** *Euro Surveill.* 2020; **25**(3): 2000045.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
25. Scheltinga SA, Templeton KE, Beersma MFC, *et al.*: **Diagnosis of human metapneumovirus and rhinovirus in patients with respiratory tract infections by an internally controlled multiplex real-time RNA PCR.** *J Clin Virol.* 2005; **33**(4): 306–11.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
26. Templeton KE, Scheltinga SA, Beersma MFC, *et al.*: **Rapid and sensitive method using multiplex real-time PCR for diagnosis of infections by influenza A and influenza B viruses, respiratory syncytial virus, and parainfluenza viruses 1, 2, 3, and 4.** *J Clin Microbiol.* 2004; **42**(4): 1564–9.  
[PubMed Abstract](#) | [Publisher Full Text](#) | [Free Full Text](#)
27. **CDC: 2019-Novel Coronavirus (2019-nCoV) Real-time rRT-PCR Panel Primers and Probes 2020.** (accessed May 31, 2020).  
[Reference Source](#)
28. R Core Team: **R: A Language and Environment for Statistical Computing.** Vienna, Austria: R Foundation for Statistical Computing; 2017.  
[Reference Source](#)
29. Bryan A, Pepper G, Wener MH, *et al.*: **Performance Characteristics of the Abbott Architect SARS-CoV-2 IgG Assay and Seroprevalence Testing in Idaho.** *MedRxiv.* 2020; 2020.04.27.20082362.  
[Publisher Full Text](#)
30. Sethuraman N, Jeremiah SS, Ryo A: **Interpreting Diagnostic Tests for SARS-CoV-2.** *JAMA.* 2020; **323**(22): 2249–51.  
[PubMed Abstract](#) | [Publisher Full Text](#)

# Open Peer Review

Current Peer Review Status:  

---

## Version 1

Reviewer Report 08 February 2021

<https://doi.org/10.21956/wellcomeopenres.17968.r42151>

© 2021 Leeflang M. This is an open access peer review report distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.



### Mariska Leeflang

Department of Epidemiology and Data Science, Amsterdam University Medical Centers, University of Amsterdam, Amsterdam, 1100 DE, The Netherlands

The sensitivity of RTT-PCR for COVID-19 or SARS-CoV-2 infection is an estimate of much debate, so any study providing more information on what the actual sensitivity (and specificity) should be is very much desired. Although I wonder whether this is the study that will really provide us with the right answers, the conclusion (*"Reliance on RT-PCR testing may result in patients with COVID-19 being inappropriately labelled with alternative diagnoses."*) will probably still be true.

1. Abstract, Results: *"The sensitivity of two upper respiratory tract RT-PCR tests increased sensitivity to 90.6%"*. Please rephrase. Suggestion: *"Using two upper respiratory tract RT-PCR tests increased sensitivity to 90.6%"*.
2. Introduction: *"Overestimation of sensitivity may lead to patients with disease being incorrectly diagnosed"*. I think it is not necessarily the overestimation leading to misdiagnosis, but rather the sensitivity (and actually the fact that a test results in any false negative) in itself.
3. The authors state that they comply with the STROBE guidelines for reporting, but as this is a diagnostic accuracy study, they should comply to STARD.
4. From the methods section it is not direct clear who are the tested population: only hospitalized patients, or also people in the community, or both? Please be clear.
5. The authors state that they 'identified' URT samples. What does this mean? Does this also mean that this is a retrospective study?
6. Only unambiguous results were used, but this leads to a high risk of bias and I think that at least the total number of ambiguous test results should have been reported. Also, what happened to samples from patients with one or more ambiguous test results. Were these patients excluded, or only the ambiguous samples? And how many of the patients with only one sample also had an ambiguous sample result?

7. Probably due to the retrospective nature, the assessment of the reference standard/gold standard was not blinded for the index test result (as the way the results were verified depended on whether there were one or more negative test results). This may have led to high risk of bias, especially when the index test (RT-PCR) was part of the clinical diagnosis criteria.
8. Please make it explicit whether the RT-PCR was part of the clinical diagnosis criteria.
9. Please make it explicit whether the outcomes and diagnoses were known when the RT-PCR results were assessed.
10. I was surprised that single negative test results were considered true negative and multiple negative test results were checked extra. To me, two or three negative test results would provide more certainty than only one negative test result.
11. Please check the estimates of sensitivity and specificity reported in the abstract and in the full text. It seems that they are different. Maybe they are not, but then it may be helpful to explain the numbers a bit more in the full text.
12. Also, I had difficulty in getting the numbers of tested patients right...Is it correct that over 5000 patients were not included in the study? That is the majority of the eligible patients. Why was this? And could that have led to biased results?
13. Title versus abstract: the title only mentions sensitivity, while the aim of the study (at least in the abstract) involves both sensitivity and specificity. Please be consistent in this. Also, if the aim of the study was to investigate specificity, why were potential false positives not further followed up? So maybe elucidating the specificity was not the aim of this study after all.
14. Limitations: the retrospective design and the lack of blinding should also be mentioned as limitations.
15. General remark: also minor detail. I think that using the abbreviation URT is not necessary and does not improve the readability of the manuscript.

**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

Partly

**Are sufficient details of methods and analysis provided to allow replication by others?**

Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**

Partly

**Are all the source data underlying the results available to ensure full reproducibility?**

No

**Are the conclusions drawn adequately supported by the results?**

Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Clinical epidemiology; co-author of QUADAS-2, the risk of bias tool for diagnostic accuracy studies.

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.**

Reviewer Report 19 November 2020

<https://doi.org/10.21956/wellcomeopenres.17968.r41294>

© 2020 Otieno J. This is an open access peer review report distributed under the terms of the [Creative Commons Attribution License](#), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.



**James Otieno** 

<sup>1</sup> Department of Microbiology, Icahn School of Medicine at Mount Sinai, New York City, NY, USA

<sup>2</sup> Division of International Epidemiology and population Studies (DIEPS), Fogarty International Center, NIH, Bethesda, MD, USA

The coronavirus disease 2019 (COVID-19) pandemic is a serious global problem and proper diagnosis of individuals is a key step in the control strategy. As stated by the authors, a wrongful diagnosis could either send positive individuals into non-COVID-19 areas where they could be a risk of infection to others or send negative individuals into COVID-19 areas with a risk of infection unto themselves.

Using a large dataset, the authors highlight the importance of (i) not relying on a single method for diagnosis as a gold standard, either clinical or RT-PCR alone, and (ii) RT-PCR repeat testing that markedly improves test sensitivity.

**Minor comment:**

The single negative test classified as a true negative, were these cases that never met a clinical diagnosis of COVID-19 (hence not suspected as a false negative)? Or is it that no other samples were available/collected? Would the edit below bring more clarity?

*"Patients with a single negative test result were classified as a true negative **if they never met a clinical diagnosis of COVID-19**. The clinical guidelines in place at the time specified that if there was clinical suspicion of COVID-19, an URT RT-PCR test should be repeated if the first test was negative."*

**Is the work clearly and accurately presented and does it cite the current literature?**

Yes

**Is the study design appropriate and is the work technically sound?**

Yes

**Are sufficient details of methods and analysis provided to allow replication by others?**

Yes

**If applicable, is the statistical analysis and its interpretation appropriate?**

Yes

**Are all the source data underlying the results available to ensure full reproducibility?**

Yes

**Are the conclusions drawn adequately supported by the results?**

Yes

***Competing Interests:*** No competing interests were disclosed.

***Reviewer Expertise:*** Molecular Epidemiology and Bioinformatics.

**I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.**

-----